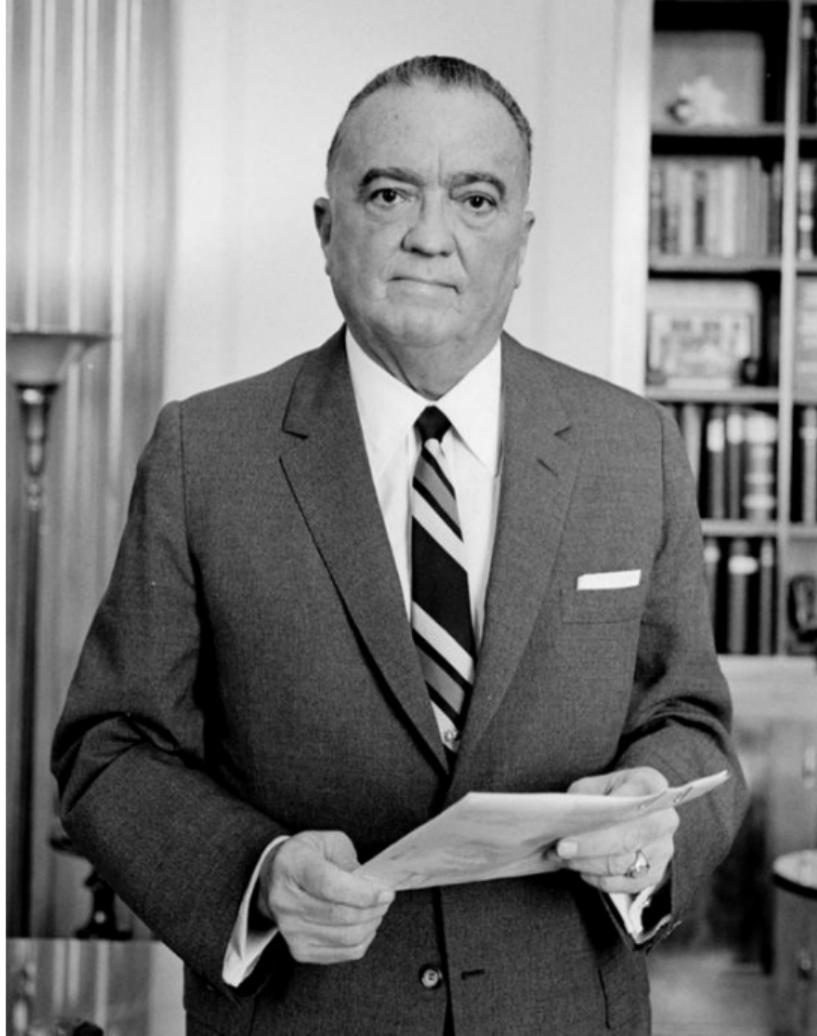


Post-quantum cryptography

Daniel J. Bernstein

University of Illinois at Chicago; Ruhr University Bochum



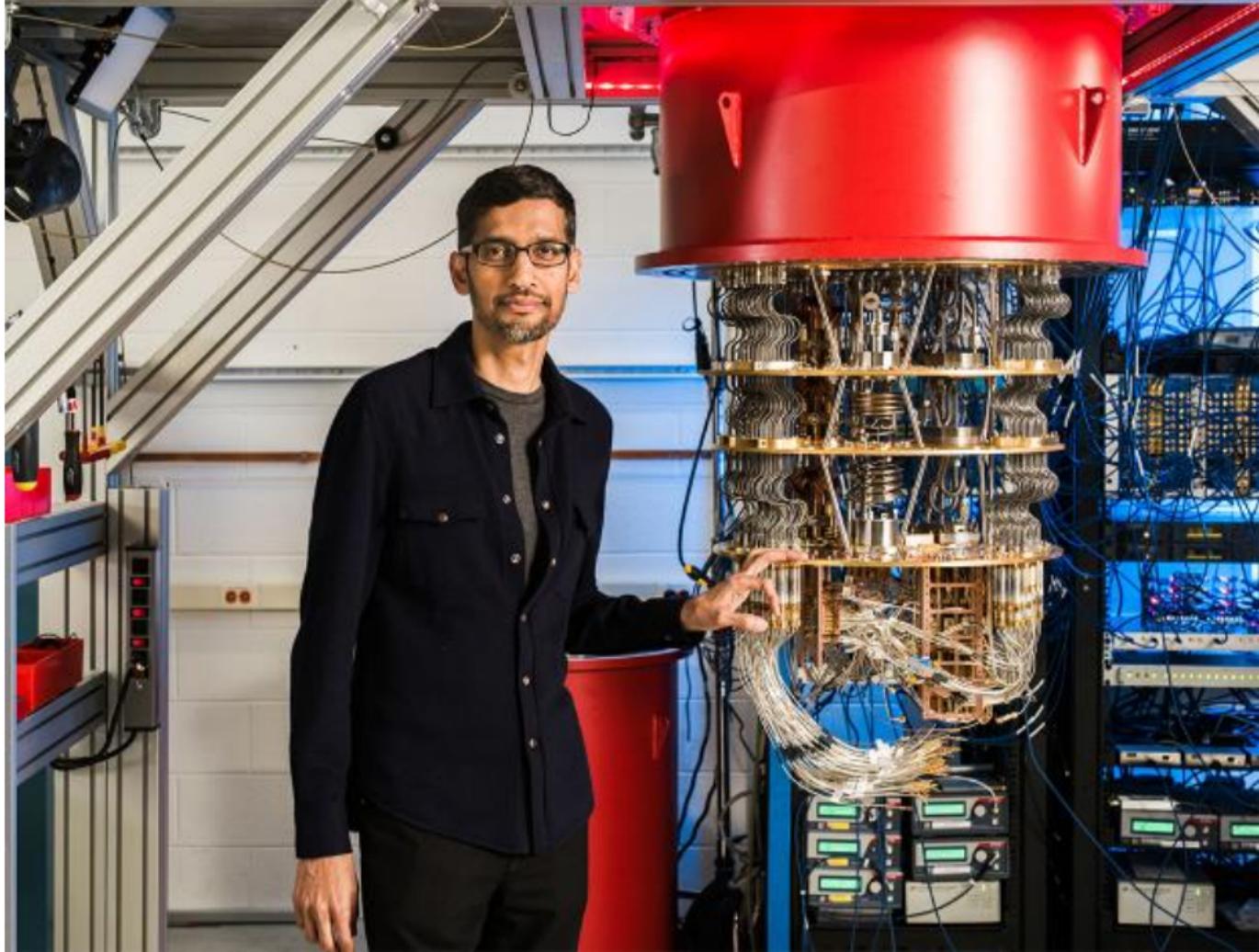
[Wikipedia](#): “Hoover became a controversial figure as evidence of his secretive abuses of power began to surface. He was found to have exceeded the jurisdiction of the FBI, and to have used the FBI to harass political dissenters and activists, to amass secret files on political leaders, and to collect evidence using illegal methods. Hoover consequently amassed a great deal of power and was in a position to intimidate and threaten others, including sitting presidents of the United States.”



[Wikipedia](#): “The **2016 Democratic National Committee email leak** is a collection of Democratic National Committee (DNC) emails stolen by one or more hackers operating under the pseudonym ‘Guccifer 2.0’ who are alleged to be Russian intelligence agency hackers, according to indictments carried out by the Mueller investigation. These emails were [published] just before the 2016 Democratic National Convention.”

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Thought experiment: Start from 2016 election results. Switch 5353+11375+22147 R voters to D in MI+WI+PA. \Rightarrow Clinton wins. (Of course there were many other influences on election results.)



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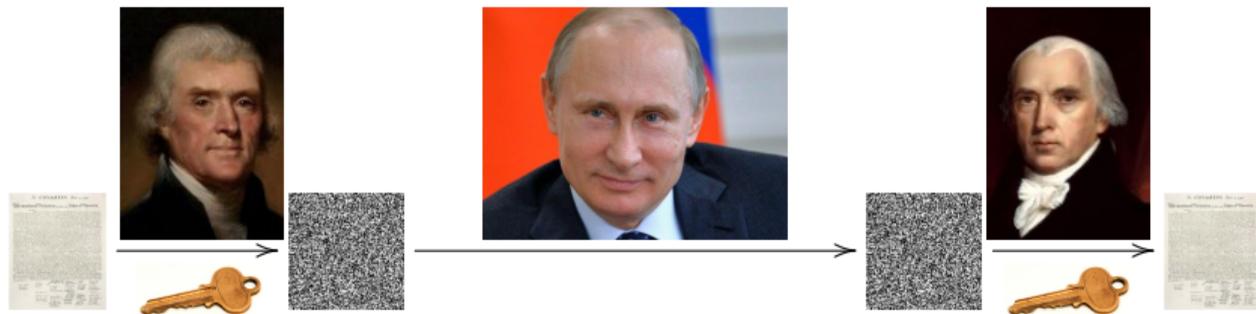
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— Large-scale attackers are already recording encrypted data today. Nothing we do tomorrow can retroactively protect this data. Also, *are* we switching to post-quantum crypto? And is it secure?

The goals of cryptography

Col. Gwynne tells me he has sent you the first
 volume of the fasciculi, and adds the 2^d. by this conveyance,
 I believe I never have yet mentioned to you that publication
 was undertaken last fall by Jay Hamilton and myself
 The 497. 450. 1721. 252. 252. 1070. 1067. 1065. The 577. 918. 1293. 252. 1256.
 by the sketches of Jay mostly on the two Men's
 1461. 812. 471. 542. 546. 1352. 227. 1247. 1619. 1599. 1179. 812. 1091. 1727.
 Though 1726. 1719. 145. 1527. 1143. 365. 812. 1476. 1155. 1470. 549. 733. 824.
 all the 479 812 ideas of each other
 925. 157. 1448. 1645. 973. for ~~the~~ 464. 764. 1752. 320. 1727. There being
 seldom time for even a pencil
 719. 1490. 1020. 208. 744. 101. 576. 1162. 450. of the 1095. 1156. 649. 1261.
 any but the writer before they were wanted at the press
 966. 967. 812. 1476. 1155. 572. 1174. 1260. 1261. 920. 1759. 301. 812. 498.
 sometimes, hardly by the writer himself
 and 473. 1020. 1288. 1757. 1421. 812. 1470. 885. 425. 440.

Secret-key encryption



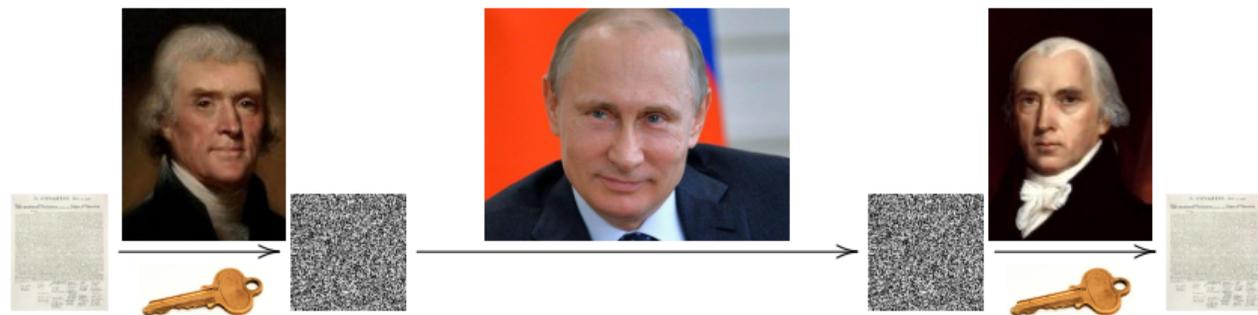
Prerequisite: Thomas and James share a secret key .

Prerequisite: Vladimir doesn't know .

Thomas and James exchange any number of messages.

Security goal #1: **Confidentiality** despite Vladimir's espionage.

Secret-key authenticated encryption



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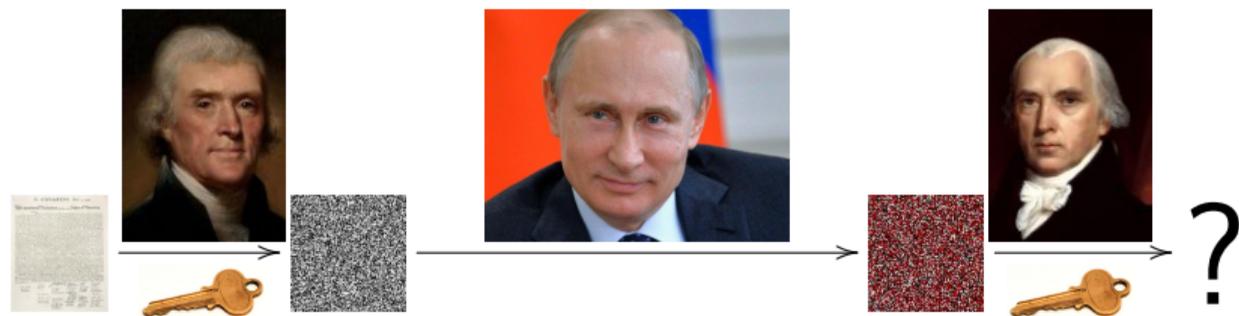
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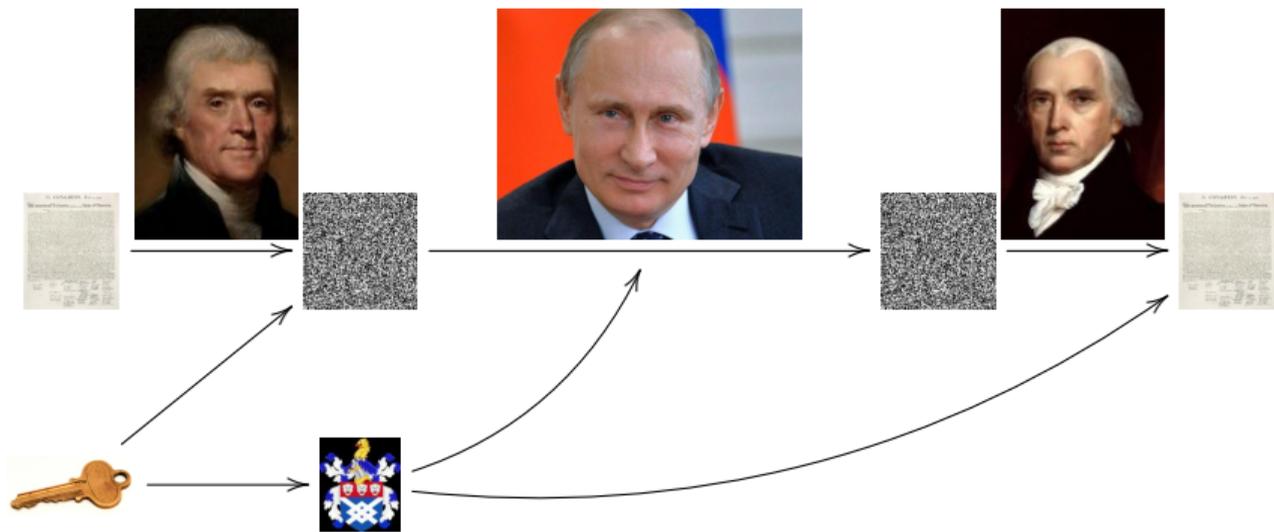
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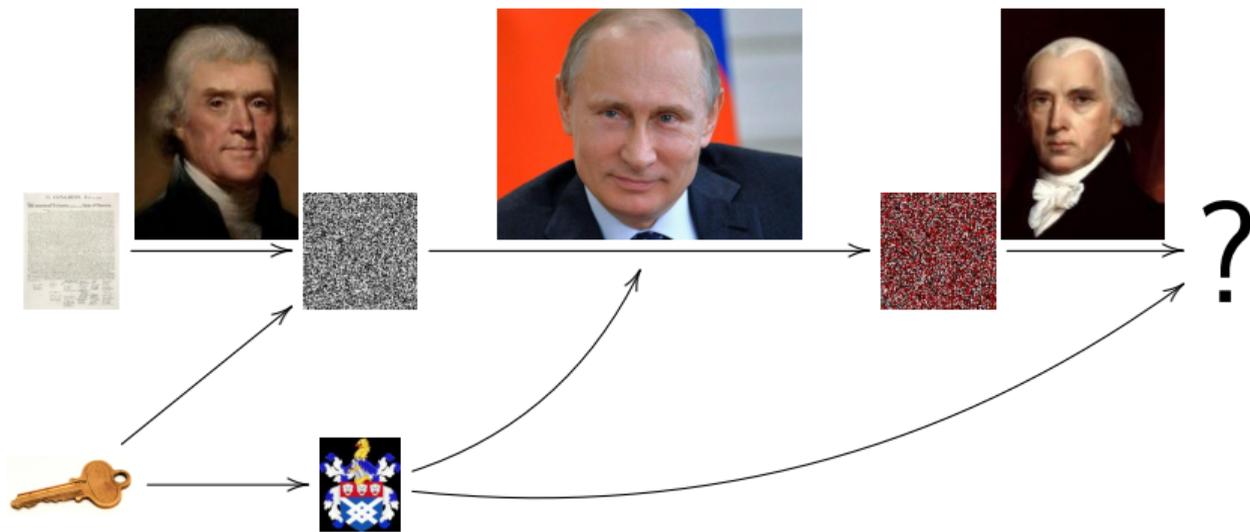
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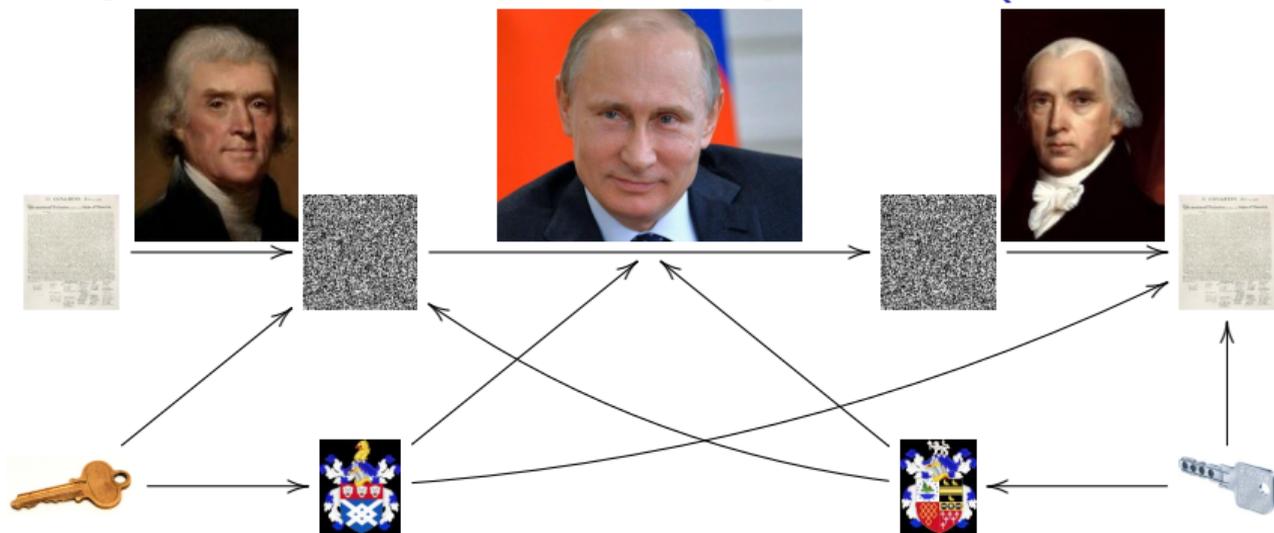
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Public-key authenticated encryption (“DH” data flow)



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Protecting against denial of service; stopping traffic analysis; securely tallying votes; searching encrypted data; much more.

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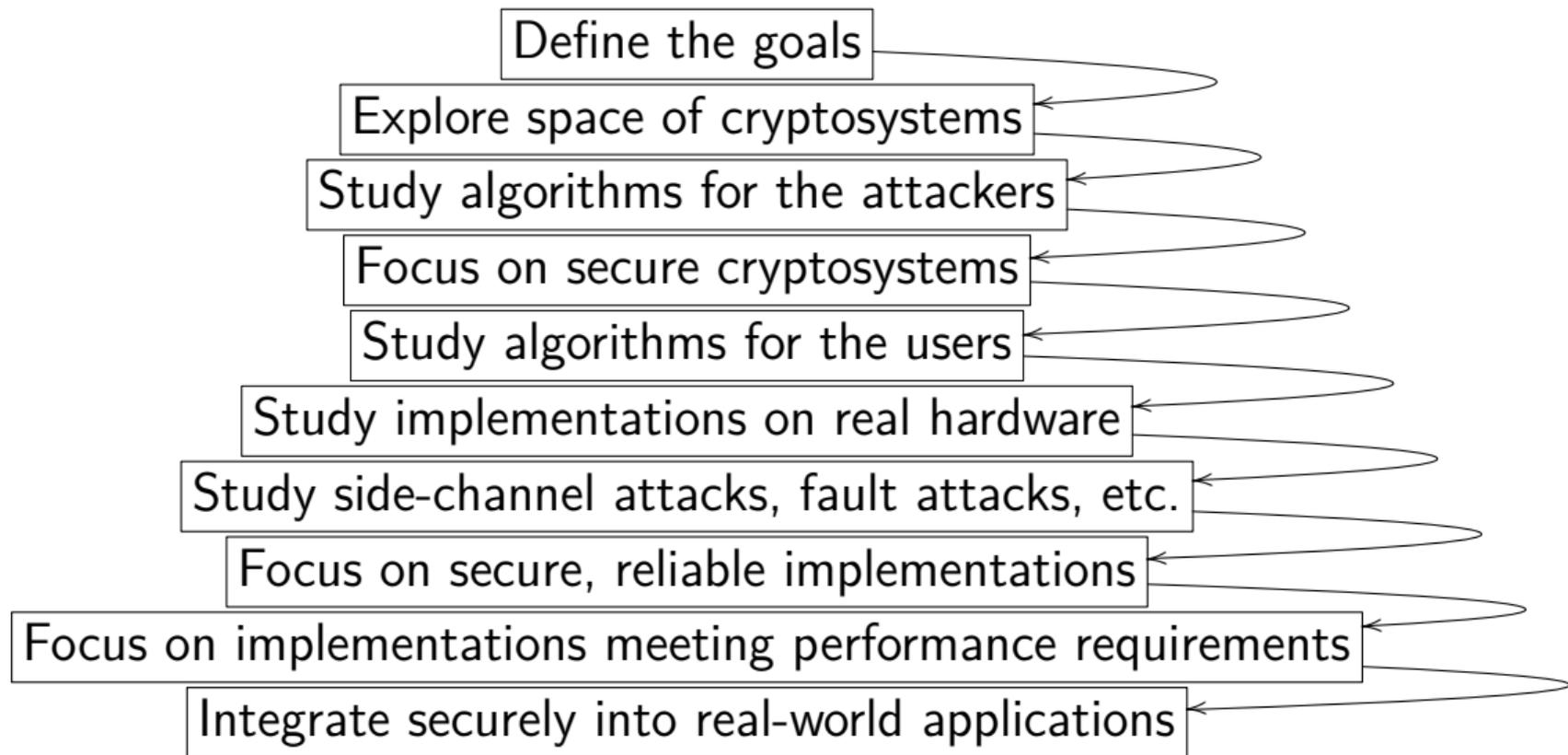
Many intellectually challenging cryptographic research topics—distracting attention from the quantum apocalypse.

Assuming quantum attacks become cheap enough:

- Attackers forge messages if we don't change our systems.
- Attackers read messages if we don't change our systems.
- Attackers read older messages no matter what we do.

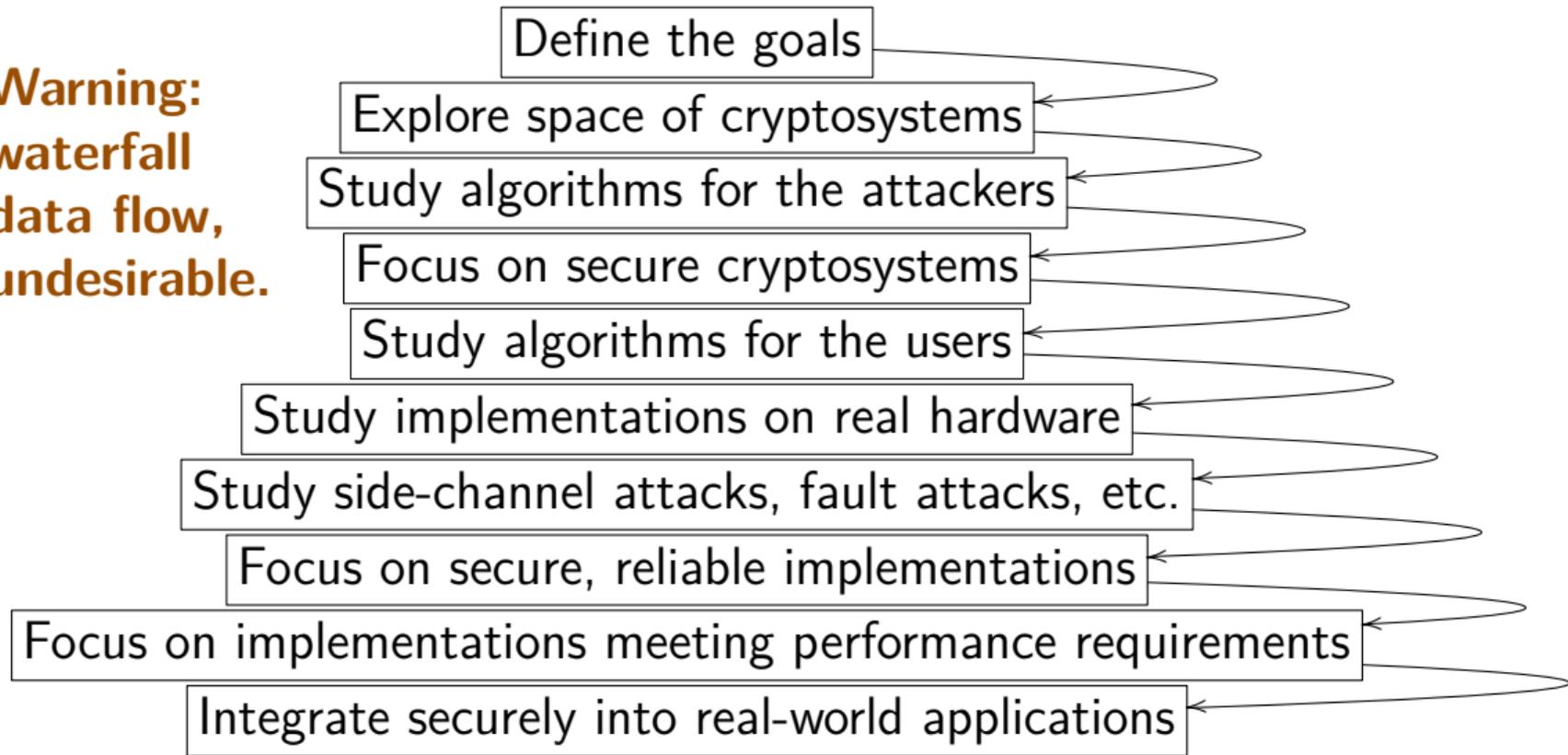
How cryptographers try to reach the goals

Many stages of research from design to deployment



Many stages of research from design to deployment

**Warning:
waterfall
data flow,
undesirable.**



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Public key is secretly generated with “binary Goppa code” structure that allows efficient decoding: $C \mapsto As, e$.

One-wayness (“OW-CPA” = “OW-Passive”)

Fundamental security question:

Given random public key A and ciphertext $As + e$ for random s, e ,
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The McEliece system (with later key-size optimizations) uses $(c_0 + o(1))\lambda^2(\lg \lambda)^2$ -bit keys as $\lambda \rightarrow \infty$ to achieve 2^λ security against Prange's attack. Here $c_0 \approx 0.7418860694$.

Is the McEliece system really one-way?

25 subsequent papers studying one-wayness of McEliece system:
1981 Clark–Cain, crediting Omura. 1988 Lee–Brickell. 1988 Leon.
1989 Krouk. 1989 Stern. 1989 Dumer. 1990 Coffey–Goodman.
1990 van Tilburg. 1991 Dumer. 1991 Coffey–Goodman–Farrell.
1993 Chabanne–Courteau. 1993 Chabaud. 1994 van Tilburg.
1994 Canteaut–Chabanne. 1998 Canteaut–Chabaud.
1998 Canteaut–Sendrier. 2008 Bernstein–Lange–Peters.
2009 Bernstein–Lange–Peters–van Tilborg. 2009 Finiasz–Sendrier.
2011 Bernstein–Lange–Peters. 2011 May–Meurer–Thomae.
2012 Becker–Joux–May–Meurer. 2013 Hamdaoui–Sendrier.
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Replacing λ with 2λ stops all known quantum attacks.

The attack papers have had an effect on the $o(1)$ terms, and have slightly changed results for specific λ .

Exact analysis and optimization: harder than asymptotics.

Example of current work: count $\#$ quantum gates in algorithms.

Some questions regarding provability

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Binary Goppa codes (1970)

Parameters: $q \in \{8, 16, 32, \dots\}$;

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McEliece uses random matrix A whose image is this code.

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Generator matrix for code Γ of length n and dimension k :
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McEliece public key: G times random $k \times k$ invertible matrix.

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$\Pr \approx 29\%$ that systematic form exists. Security loss: < 2 bits.

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If so, attacker can efficiently find s, e given A and $As + e$:
compute $H(As + e) = He$; find e ; compute s from As .

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- Lattice-based cryptography.

The claimed maturity of lattice attacks

Case study: SVP, the most famous lattice problem.

2006 Silverman: “Lattices, SVP and CVP, have been intensively studied for more than 100 years, both as intrinsic mathematical problems and for applications in pure and applied mathematics, physics and cryptography.”

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Best SVP algorithms known by 2000:

time $2^{\Theta(N \log N)}$ for almost all dimension- N lattices

(assuming reasonable input lengths, various reasonable heuristics).

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Lattice crypto: more attack avenues; even less understanding.

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2014: EU solicits grant proposals in post-quantum crypto.

2014: ETSI starts working group on "Quantum-safe" crypto.

2015.04: NIST hosts workshop on post-quantum cryptography.

2015.08: NSA wakes up.



NSA announcements

2015.08.11 announcement:

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Or “NSA can break lattices and wants you to use them.”

PQCrypto 2016: >200 people



PQCrypto 2018: 350 people



Rewinding to 2016 ...

More reactions by government agencies:

- NSA posts [another statement](#).
- NCSC UK posts [statement on the threat to cryptography](#) and [statement on quantum key distribution](#).
- NCSC NL posts [statement](#).
- After public input, NIST calls for submissions of public-key systems to “Post-Quantum Cryptography Standardization Project”. Deadline 2017.11.

2017: Submissions to the NIST competition

21 December 2017: NIST posts **69 submissions** from 260 people.

BIG QUAKE. BIKE. CFPKM. Classic McEliece. Compact LWE.
CRYSTALS-DILITHIUM. CRYSTALS-KYBER. DAGS. Ding Key Exchange.
DME. DRS. DualModeMS. Edon-K. EMBLEM and R.EMBLEM. FALCON.
FrodoKEM. GeMSS. Giophantus. Gravity-SPHINCS. Guess Again. Gui. HILA5.
HiMQ-3. HK17. HQC. KINDI. LAC. LAKE. LEDAkem. LEDApkc. Lepton.
LIMA. Lizard. LOCKER. LOTUS. LUOV. McNie. Mersenne-756839. MQDSS.
NewHope. NTRUEncrypt. pqNTRUSign. NTRU-HRSS-KEM. NTRU Prime.
NTS-KEM. Odd Manhattan. OKCN/AKCN/CNKE. Ouroboros-R. Picnic.
pqRSA encryption. pqRSA signature. pqsigRM. QC-MDPC KEM. qTESLA.
RaCoSS. Rainbow. Ramstake. RankSign. RLCE-KEM. Round2. RQC. RVB.
SABER. SIKE. SPHINCS+. SRTPI. Three Bears. Titanium. WalnutDSA.

Some submissions are broken within days

By end of 2017: 8 out of 69 submissions attacked.

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Some less secure than claimed; some **smashed**; some attack scripts.

Do cryptographers have any idea what they're doing?

By end of 2018: 22 out of 69 submissions attacked.

BIG QUAKE. BIKE. [CFPKM](#). Classic McEliece. [Compact LWE](#).
CRYSTALS-DILITHIUM. CRYSTALS-KYBER. [DAGS](#). Ding Key Exchange.
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Some [less secure than claimed](#); some [smashed](#); some [attack scripts](#).

Do cryptographers have any idea what they're doing?

By end of 2019: 30 out of 69 submissions attacked.

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CRYSTALS-DILITHIUM. CRYSTALS-KYBER. DAGS. Ding Key Exchange.
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An attempt to explain the situation

People often categorize submissions. Examples of categories:

- Code-based encryption and signatures.
- Hash-based signatures.
- Isogeny-based encryption.
- Lattice-based encryption and signatures.
- Multivariate-quadratic encryption and signatures.

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Lattice-based submissions: [Compact LWE](#).

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Lattice security estimates are so imprecise that nobody is sure whether the remaining submissions are damaged by a 2019 paper solving a lattice problem “more than a million times faster”.

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“Submissions should only merge which are similar, and the merged submission should be in the span of the two original submissions.”

2018.08: first merge announcement

2018.08.04: **HILA5** and Round2 merge to form Round5.

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2018.08.24: Hamburg announces major vulnerability in **Round5**.

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Round5 response: “proposed fix”; “looking at the security proof adjustments”; “actual Round5 proposal to NIST is still months away.”

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Panic. “Key Finding 10: Even if a quantum computer that can decrypt current cryptographic ciphers is more than a decade off, the hazard of such a machine is high enough—and the time frame for transitioning to a new security protocol is sufficiently long and uncertain—that prioritization of the development, standardization, and deployment of post-quantum cryptography is critical for minimizing the chance of a potential security and privacy disaster.”