Faster factorization into coprimes

D. J. Bernstein

## Finding multiplicative relations

Define q=1000003;  $u_1=x^2+690277x+961618$ ;  $u_2=x^2+532806x+661735$ ;  $u_3=x^2+874868x+419951$ ;  $u_4=x^3+548974x^2+43298x+899386$ ;  $u_5=x^7+587463x^6+66890x^5+25045x^4+886824x^3+439217x^2+28014x+191136$ .

Does  $u_1^{1952681}u_2^{1513335}u_3^{634643}$  equal  $u_4^{1708632}u_5^{439346}$  in  $\mathbf{F}_q[x]$ ?

Which  $(a,b,c,d,e)\in \mathbf{Z}^5$  have  $u_1^au_2^bu_3^cu_4^du_5^e=1$  in  $\mathbf{F}_q(x)$ ?

Factor into primes of  $\mathbf{F}_q[x]$ :  $u_1=p_1p_2$  where  $p_1=x-325894$  and  $p_2=x-983835$ ;  $u_2=p_1p_3$  where  $p_3=x-141303$ ;  $u_3=p_2p_3$ ;  $u_4=p_1p_2p_3$ ;  $u_5=p_1^4p_2^2p_3$ .

Now  $u_1^a u_2^b u_3^c u_4^d u_5^e = p_1^{a+b+d+4e} p_2^{a+c+d+2e} p_3^{b+c+d+e};$  and  $p_1, p_2, p_3$  are distinct primes.

 $egin{aligned} u_1^a u_2^b u_3^c u_4^d u_5^e &= 1 \Leftrightarrow \ p_1^{a+b+d+4e} & \cdots &= 1 \Leftrightarrow \ (a+b+d+4e, \ldots) &= 0 \Leftrightarrow \ (a,b,c,d,e) \in \ (1,1,1,-2,0) {f Z} + (3,2,0,-1,-1) {f Z}. \end{aligned}$ 

Primality was overkill here.

All we needed was coprimality:

$$egin{array}{ll} \gcd\{p_1,p_2 &= 1; \ \gcd\{p_1,p_3 &= 1; \ \gcd\{p_2,p_3 &= 1. \ \end{array}$$

Many applications of factorization into primes can instead use any factorization into coprimes.

In particular, this application: Coprimes  $p_1$ ,  $p_2$ ,  $p_3$  have  $p_1^{a_1}p_2^{a_2}p_3^{a_3}=1$  iff  $(a_1,a_2,a_3)=0$ .

Finding multiplicative relations is the critical bottleneck in modern "index-calculus" methods to compute "discrete logarithms" by "combining congruences": e.g., the "function-field sieve."

In simplest methods, can "sieve" to efficiently find prime factors.

Advanced methods can't sieve.

Standard backup: qth powering to factor into primes.

(For integers: ECM etc.)

As before, this is overkill; adequate to factor into coprimes.

# How fast is factorization?

Obvious algorithm to factor monic  $u_1, u_2, \ldots \in k[x]$  into coprimes: divide out gcd's until coprime. Algorithm is algebraic over k;  $O(n^3)$  ops for n input coeffs.

1990 Bach Driscoll Shallit: avoid pointless gcd's;  $O(n^2)$ .

1995 Bernstein:  $n^{1+o(1)}$ ; more precisely,  $n(\lg n)^{O(1)}$ .

2004 Bernstein:  $n(\lg n)^{4+o(1)}$ ;  $O(n(\lg n)^4(\lg \lg n)^2)$ .

The **natural coprime base** cb S for a set S is the unique set P such that

- P can be obtained from
   S ∪ {1 via product,
   exact quotient, gcd;
- S can be obtained from  $P \dot{\cup} \{1 \text{ via product; and } \}$
- P is coprime:  $gcd\{a, b = 1 \}$  for all distinct  $a, b \in P$ .

All of the above algorithms compute  $\operatorname{cb}\{u_1,u_2,\dots$ 

(Can replace k[x] by Z.)

Factor into primes? Abandon cb?

1995 Kaltofen Shoup for  $k = \mathbf{F}_q$ : factor into primes using  $O(n^{1.815} \lg q)$  operations in k. For large n, faster than 1990 Bach Driscoll Shallit, but slower than 1995 Bernstein.

Slight improvements later, but every known method to factor into primes is slower than factoring into coprimes.

Look for applications that (1) factor into primes but (2) can use arbitrary coprimes.

Some algorithms for factorization into primes use, as a subroutine, factorization into coprimes.

e.g. Given squarefree  $\in \mathbf{F}_2[x]$ : Find basis  $h_1, h_2, \ldots$  for  $\{h \in \mathbf{F}_2[x] : (h)' = h^2\}$  as a vector space over  $\mathbf{F}_2$ . Then cb $\{h_1, h_2, \ldots \}$  contains all prime divisors of .

(1993 Niederreiter, 1994 Göttfert)

More examples, applications of factoring into coprimes: see 1890 Stieltjes; 1974 Collins; 1985 Kaltofen; 1985 Della Dora DiCrescenzo Duval; 1986 Bach Miller Shallit; 1986 von zur Gathen; 1986 Lüneburg; 1989 Pohst Zassenhaus; 1990 Teitelbaum; 1990 Smedley; 1993 Bach Driscoll Shallit; 1994 Ge; 1994 Buchmann Lenstra; 1996 Bernstein; 1997 Silverman; 1998 Cohen Diaz y Diaz Olivier; 1998 Storjohann; . . .

cr.yp.to/coprimes.html

## How to compute cb

 $n(\lg n)^{1+o(1)}$ :  $a,b\mapsto ab$  if a,b together have n coeffs.

$$n(\lg n)^{1+o(1)}$$
:  $a,b\mapsto \lfloor a/b \rfloor$ .

$$n(\lg n)^{1+o(1)}$$
:  $a,b\mapsto a \mod b$ .

$$n(\lg n)^{2+o(1)}$$
:  $a,b\mapsto\gcd\{a,b\}$ .

$$n(\lg n)^{1+o(1)} + m(\lg m)^{2+o(1)}$$
:

$$a, b \mapsto \gcd\{a, b\}$$

if b has m coeffs.

$$n(\lg n)^{2+o(1)}$$
:  $a,b\mapsto\gcd\{a,b^\infty\}$ 

See <a href="mailto:cr.yp.to/papers.html">cr.yp.to/papers.html</a>
<a href="mailto:#multapps">#multapps</a> for a fast-mult survey.

 $n(\lg n)^{2+o(1)}$ :  $a, b \mapsto \operatorname{cb}\{a, b\}$  by the following algorithm.

Permute (a, b) so deg  $a \ge \deg b$ . Compute successively

$$a_0=a; \ \ _0=\gcd\{a_0,b\ ; \ a_1=a_0/\ _0; \ \ _1=\gcd\{a_1,\ \ _0^2\}; \ a_2=a_1/\ _1; \ \ _2=\gcd\{a_2,\ \ _1^2\}; \$$
etc. Stop when  $\ \ _k=1.$ 

## Compute

$$x_0=_0/\mathrm{gcd}ig\{egin{array}{ll} _0,& _1^\inftyig\};\ x_1=_1/\mathrm{gcd}ig\{egin{array}{ll} _1,& _2^\inftyig\};\ \mathrm{etc}. \end{array}$$

Compute  $b \mod 1$ ;  $b \mod 2$ ; . . . using a remainder tree.

## Compute

```
\gcd\{b, \ 1 = \gcd\{b \bmod 1, \ 1 ;
 \gcd\{b, \ 2 = \gcd\{b \bmod 2, \ 2 ;
 etc.
```

Compute 
$$y_0 = \gcd\{b, x_0^{\infty}\};$$
  $y_1 = \gcd\{ \ _0, x_1^{\infty}\};$   $y_2 = \gcd\{\gcd\{b, \ _1, x_2^{\infty}\};$   $y_3 = \gcd\{\gcd\{b, \ _2, x_3^{\infty}\};$   $y_4 = \gcd\{\gcd\{b, \ _3, x_4^{\infty}\};$  etc.

Then  ${\sf cb}\{a,b\}$  is disjoint union of  ${\sf cb}\{x_0,y_0/x_0\}$  ,  ${\sf cb}\{x_1,y_1\}$  ,  ${\sf cb}\{x_2,y_2\}$  ,  $\dots$  ,  $\{a_k\}-\{1\}$  ,  $\{b/{\sf gcd}\{b,a^\infty\}\}-\{1\}$  .

What about cb S for  $\#S \geq 3$ ?

 $n(\lg n)^{2+o(1)}$  if  $\lg \# P \in (\lg n)^{o(1)}$ : multiset S, coprime set P

 $\mapsto \gcd\{s, p^\infty\}$ 

for each  $s \in S$ , each  $p \in P$ .

 $n(\lg n)^{2+o(1)}$ :

a, coprime set  $Q \mapsto \operatorname{cb}(\{a \cup Q)$ .

More complicated than the case  $Q = \{b \text{ but same basic ideas.} \}$ 

 $n(\lg n)^{3+o(1)}$ :

coprime set P, coprime set Q  $\mapsto$  cb( $P \cup Q$ ).

Idea of  $cb(P \cup Q)$  algorithm: Replace Q with  $cb(\{a \cup Q)\}$ for each  $a \in P$  successively. But that's too slow if #P is large, so first replace P with P' having  $\#P' \in O(\lg n)$  and  $\operatorname{cb} P' = \operatorname{cb} P$ . e.g.  $p_0p_1p_4p_5p_8p_9\cdots \in P'$ .  $n(\lg n)^{4+o(1)}$ :  $S \mapsto \operatorname{cb} S$ .  $n(\lg n)^{3+o(1)}$ : Factor S over cb S. cr.yp.to/papers.html#dcba

cr.yp.to/papers.ntml#dcba
cr.yp.to/papers.html#dcba2
cr.yp.to/talks.html
#2004.07.07